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FROM:	Todd A. Lorenz	FAX #:	(415) 398-3249
PHONE #:	(415) 781-1989	EMAIL:	lorenz.todd@dorsey.com

## COMMENTS:

In re application of:

PALM et al.

Application Serial No.: 09/808,387

Filing Date: 14 March 2001

Titled: **Mammalian Neuralized Family Transcriptional Regulators and Uses Therefor**

Examiner: Samuel W. LIU

Art Unit: 1653

Attorney Docket No.: 33945/US/TAL/DHR

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REFERENCE # 33945/US [473322-00005]

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## PATENT

Attorney Docket No.: 33945/US

Attorney File No.: 473322-0005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PALM *et al.*

Serial No. 09/808,387

Filing Date: March 14, 2001

For: *Mammalian Neuralized Family  
Transcriptional Regulators and  
Uses Therefor*

Examiner: LIU, Samuel W.

Group Art Unit: 1653

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Dated: April 25, 2005

Signed:   
Kent Yonchura

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO/SB/8A. In accordance with 1287 Off. Gaz. Pat. Office 163, 10/19/2004, no copies of U.S. patents and U.S. published applications are enclosed. Copies of all other references are enclosed.

Further, in accordance with the provisions of 37 C.F.R. §§ 1.97(c) and 1.97(e)(1), the undersigned certifies that the references listed on the enclosed substitute for form PTO-1449 were first cited in a Supplementary European Search Report dated March 3, 2005, for

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Serial No. 09/808,387  
Filing Date: March 14, 2001

counterpart European application no. EP 02715083. A copy of the Supplementary European Search Report for the counterpart European application is enclosed herewith.


Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

Pursuant to 37 C.F.R. § 1.97(e), and as required by M.P.E.P. § 609(B), each item contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

Pursuant to 37 C.F.R. § 1.97(c), enclosed is a check in the amount of \$180.00 as set forth in 37 C.F.R. § 1.17(p). While no further fee is believed to be due, if this belief is in error, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our File No. 473322-00005; Our Docket No.: 33945/US).

Respectfully submitted,  
DORSEY & WHITNEY LLP

Dated: 4/25/05  
Customer Number: 32940  
Dorsey & Whitney LLP  
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BY:   
Todd A. Lorenz, Reg. No. 39,754  
Filed Under 37 C.F.R. § 1.34

Serial No. 09/808,387

Filing Date: March 14, 2001

Attachments : Form PTO/SB/8A, Substitute for form 1449  
Supplementary European Search Report  
Three (3) cited references  
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Substitute PTO/SB/08A (08-03)  
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Substitute for form 1449A/PTO (Modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>		
				Application Number	09/808,387	
				Filing Date	March 14, 2001	
				First Named Inventor	PALM, Kaia	
				Art Unit	1653	
				Examiner Name	LIU, Samuel W.	
Attorney Docket Number	33945/US (473322-00005)					
Sheet	1	of	1			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>5</sup>
	C1	NCI/NINDS-CGAP, (Direct Submission), NCBI Accession No. AI571301 (GI No. 4536745), 31 March 1999.			
	C2	ZHOU, L, et al., (Direct Submission), NCBI Accession No. Q24746 (GI No. 34222692), 10 October 2003.			
	C3	ZHOU, L., et al., "Comparison of the neuralized genes of <i>Drosophila virilis</i> and <i>D. melanogaster</i> ," <i>Genome</i> 37(5):840-847 (Oct. 1994).			

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
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 This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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